



For use by individual (and joint) applicants



# Application Form for purchase of shares in Osney Lock Hydro Ltd.

**I/we wish to become a member of Osney Lock Hydro Ltd in accordance with the Rules and apply for:**

Ordinary Shares to the value of: £  and enclose a cheque for this amount, made payable to Osney Lock Hydro Ltd. For this offer the minimum shareholding is £500 and the maximum £20,000. Applications can be received at Osney Lock Hydro Ltd, 16 Mill Street, Oxford, OX2 0AJ until the Directors declare the offer closed.

**Name and address** (PLEASE USE CAPITAL LETTERS)

For more than two joint applicants, please photocopy this form and ensure each applicant completes and signs the form.

### Applicant

### Joint applicant

First name(s) in full	<input type="text"/>	<input type="text"/>
Last name	<input type="text"/>	<input type="text"/>
Address	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>
Town/city	<input type="text"/>	<input type="text"/>
Postcode	<input type="text"/>	<input type="text"/>
Telephone no.	<input type="text"/>	<input type="text"/>
Email	<input type="text"/>	<input type="text"/>

### SEIS and EIS tax relief

It is our hope that the scheme will be approved for SEIS and EIS. If the scheme is approved, only the initial £150,000 invested will be eligible for SEIS, with remaining investment eligible for EIS. This will be allocated on a first-come-first-served basis to applicants who have declared themselves eligible as taxpayers.

*I would\* / would not\** like my investment to be included in the initial £150,000 of shares. I am a UK taxpayer.

\*Delete as appropriate

### Priority application

If we raise more investment than we require, priority will be given to applicants who live within a ten-mile radius of Osney Lock. *I do\* / do not\** live within ten miles of Osney Lock (the site of the project). \*Delete as appropriate

### Agreement

- I have read the Share Offer Document, including risk factors.
- I wish to become a member of Osney Lock Hydro Ltd.
- I agree to be bound by the Terms and Conditions included in the Share Offer Document and the Rules of Osney Lock Hydro Ltd. (see [www.osneylockhydro.org.uk](http://www.osneylockhydro.org.uk)).
- I understand that the Board of Directors of Osney Lock Hydro Ltd may reject my/our application, and are not obliged to tell us why it has been rejected.
- I consent to receiving formal notices by email and links to formal documents on Osney Lock Hydro's website.

### Signed as a Deed

#### First applicant

#### Joint applicant (if applicable)

Signature	<input type="text"/>	Signature	<input type="text"/>
Name in print	<input type="text"/>	Name in print	<input type="text"/>
Date	<input type="text"/>	Date	<input type="text"/>

**Please send your completed application form and payment (cheques made payable to 'Osney Lock Hydro Ltd') to: Osney Lock Hydro Ltd, 16 Mill Street, Oxford, OX2 0AJ**

If you wish to nominate a person to whom you wish your shares to be transferred on your death, please tick the box  and complete the form overleaf.

### Data protection and money laundering

The data provided by you on this form will be stored within a computerised database. This data will be used only for Osney Lock Hydro Ltd purposes and will not be disclosed to any third party. It is a condition of the offer that to ensure compliance with the Money Laundering Regulations 2003, Osney Hydro Ltd may at its absolute discretion require verification of identity of any person seeking to invest.

### Notices by email and documents on our website

To reduce our administrative costs, we would like to be able to send you formal notices by email and refer you (by email) to documents posted on our website. By signing this form you are consenting to receiving such notices by email and accessing documents through our website.

# Holding shares on behalf of children and nomination of shares on death



(PLEASE USE CAPITAL LETTERS)

## You can choose to:

- Hold shares on behalf of children and/or
- Nominate a person to whom you wish your shares to be transferred on your death.

## Please fill in the relevant sections below.

### Holding shares on behalf of children

If you wish to hold shares on behalf of someone who is under 16, please fill in that person's details below (noting EIS and other tax relief issues).

First name(s) in full
Last name
Date of birth
Address (if different from that supplied on application form overleaf)
Town/city
Postcode

### Nomination of shares on your death

You can nominate a person aged 16 or over to whom you wish your shares to be transferred on your death.

Trustees will need to be appointed for a nominee under 16 years old.

Osney Lock Hydro Ltd will respect your wish in so far as the law and our Rules permit.

If you are a joint shareholder, your holding will pass to the other joint shareholder(s) on your death, unless you complete the form below.

### Personal details of your nominee

First names (in full)
Last name
Address
Town/city
Postcode

I understand that it may not be possible for Osney Lock Hydro Ltd to action this request and I and my heirs will not hold the Society responsible for its actions. I understand that these instructions can only be revoked or amended by my giving clear written instructions to the Secretary of Osney Lock Hydro Ltd at its Registered Office.

I understand that trustees will need to be appointed if my nominee is under 16 years of age.

Signed as a Deed	Date
Name in print	
Signature of Witness	Date
Name in print	

Please send your completed form to: Osney Lock Hydro Ltd, 16 Mill Street, Oxford, OX2 0AJ